

Static Contract Checking for Haskell

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Program Errors Give Headache!

```
Module UserPgm where

f :: [Int] -> Int
f xs = head xs `max` 0

      ⋮
... f [] ...
```

```
Module Prelude where

head :: [a] -> a
head (x:xs) = x
head [] = error "empty list"
```

Glasgow Haskell Compiler (GHC) gives at run-time

Exception: Prelude.head: empty list

Types >>>>> Contracts >>>>>

`head (x:xs) = x`

Type

`head :: [Int] -> Int`

...(head 1)...

Bug!

`head ∈ {xs | not (null xs)} -> {r | True}`

...(head [])...

Bug!

`not :: Bool -> Bool`
`not True = False`
`not False = True`

`null :: [a] -> Bool`
`null [] = True`
`null (x:xs) = False`

Contract
(original Haskell
boolean expression)

Contract Checking

```
head ∈ {xs | not (null xs)} -> {r | True}
head (x:xs') = x
```

```
f xs = head xs `max` 0
```

Warning: f [] calls head
which may fail head's precondition!

```
f_ok xs = if null xs then 0
           else head xs `max` 0
```

No more warnings from compiler!

Satisfying a predicate contract

Arbitrary boolean-valued
Haskell expression

$e \in \{x \mid p\}$ if (1) $p[e/x]$ gives **True** *and*
(2) e is crash-free.

Recursive function,
higher-order function,
partial function
can be called!

Expressiveness of the Specification Language

```
data T = T1 Bool | T2 Int | T3 T T

sumT :: T -> Int
sumT ∈ {x | noT1 x} -> {r | True}
sumT (T2 a) = a
sumT (T3 t1 t2) = sumT t1 + sumT t2

noT1 :: T -> Bool
noT1 (T1 _) = False
noT1 (T2 _) = True
noT1 (T3 t1 t2) = noT1 t1 && noT1 t2
```

Expressiveness of the Specification Language

```
sumT :: T -> Int
sumT ∈ {x | noT1 x} -> {r | True}
sumT (T2 a) = a
sumT (T3 t1 t2) = sumT t1 + sumT t2
```

```
rmT1 :: T -> T
rmT1 ∈ {x | True} -> {r | noT1 r}
rmT1 (T1 a) = if a then T2 1 else T2 0
rmT1 (T2 a) = T2 a
rmT1 (T3 t1 t2) = T3 (rmT1 t1) (rmT1 t2)
```

For all crash-free $t :: T$, $\text{sumT } (\text{rmT1 } t)$ will not crash.

Higher Order Functions

```
all :: (a -> Bool) -> [a] -> Bool
all f [] = True
all f (x:xs) = f x && all f xs
```

```
filter :: (a -> Bool) -> [a] -> [a]
filter ∈ {f | True} -> {xs | True} -> {r | all f r}
filter f [] = []
filter f (x:xs') = case (f x) of
    True -> x : filter f xs'
    False -> filter f xs'
```


Contracts for higher-order function's parameter

```
f1 :: (Int -> Int) -> Int
```

```
f1 ∈ ({x | True} -> {y | y >= 0}) -> {r | r >= 0}
```

```
f1 g = (g 1) - 1
```

```
f2 :: {r | True}
```

```
f2 = f1 (\x -> x - 1)
```

Error: f1's postcondition fails
because $(g\ 1) \geq 0$ does not imply
 $(g\ 1) - 1 \geq 0$

Error: f2 calls f1
which fails f1's precondition

Functions without Contracts

```
data T = T1 Bool | T2 Int | T3 T T
```

```
noT1 :: T -> Bool
```

```
noT1 (T1 _) = False
```

```
noT1 (T2 _) = True
```

```
noT1 (T3 t1 t2) = noT1 t1 && noT1 t2
```

```
(&&) True x = x
```

```
(&&) False x = False
```

No abstraction is more compact than the function definition itself!

Contract Synonym

```
contract Ok = {x | True}
contract NonNull = {x | not (null x)}
```

```
head :: [Int] -> Int
head ∈ NonNull -> Ok
head (x:xs) = x
```

Actual Syntax

```
{-# contract Ok = {x | True} -#}
{-# contract NonNull = {x | not (null x)} #-}
{-# contract head :: NonNull -> Ok #-}
```

Questions on $e \in t$

bot = bot

$\lambda x. x$	$\in \{x \mid \mathbf{bot}\} \rightarrow \{r \mid \mathbf{True}\}$?
$\lambda x. \mathbf{error\ "f"}$	$\in \{x \mid \mathbf{bot}\} \rightarrow \{r \mid \mathbf{True}\}$?
$\lambda x. x$	$\in \{x \mid \mathbf{True}\} \rightarrow \{r \mid \mathbf{bot}\}$?
$\lambda x. \mathbf{head []}$	$\in \{x \mid \mathbf{True}\} \rightarrow \{r \mid \mathbf{bot}\}$?
$(\mathbf{True}, 2)$	$\in \{x \mid (\mathbf{snd\ } x) > 0\}$?
$(\mathbf{head []}, 3)$	$\in \{x \mid (\mathbf{snd\ } x) > 0\}$?
$\mathbf{error\ "f"}$	$\in ?$	
?	$\in \{x \mid \mathbf{False}\}$	
?	$\in \{x \mid \mathbf{head []}\}$	

Language Syntax

following Haskell's
lazy semantics

pgm	\in	Program	
pgm	$:=$	def_1, \dots, def_n	
def	\in	Definition	
def	$:=$	$decl$	
		$f \in t$	Contract attribution
		$f \vec{x} = e$	Top-level definition
$decl$	\in	Data Type	
$decl$	$:=$	data $T \vec{\alpha}$ where	
		$\overrightarrow{K \in \vec{\tau}_i \rightarrow T \vec{\alpha}}$	Data Constructors
a, e, p	\in	Exp	Expression
a, e, p	$::=$	n	Integers
		$v \mid \lambda(x :: \tau).e \mid e_1 e_2$	
		case e_0 of $alts$	
		$K \vec{e}$	Constructor
		BAD	A crash
		UUR	Unreachable
$alts$	$::=$	$alt_1 \dots alt_n$	
alt	$::=$	$pt \rightarrow e$	Case alternative
pt	$::=$	$K(x_1 :: \tau_1) \dots (x_n :: \tau_n)$	Pattern
		DEFAULT	
τ	\in	Types	
τ		Int Bool ...	Base types
		T	Data type
val	\in	Value	
val	$::=$	$n \mid K \vec{e} \mid \lambda(x :: \tau).e \mid \mathbf{UUR} \mid \mathbf{BAD}$	

Two special constructors

- **BAD** is an expression that *crashes*.

```
error :: String -> a
```

```
error s = BAD
```

```
head (x:xs) = x
```

```
head [] = BAD
```

- **UNR** (short for “unreachable”) is an expression that gets stuck. This is *not* a crash, although execution comes to a halt without delivering a result. (identifiable infinite loop)

Syntax of Contracts

(related to [Findler:ICFP02,Blume:ICFP04,Hinze:FLOPS06,Flanagan:POPL06])

$t \in \text{Contract}$

$t ::= \{x \mid p\}$	Predicate Contract
$x:t_1 \rightarrow t_2$	Dependent Function Contract
(t_1, t_2)	Tuple Contract
Any	Polymorphic Any Contract

Full version: $x' : \{x \mid x > 0\} \rightarrow \{r \mid r > x'\}$

Short hand: $\{x \mid x > 0\} \rightarrow \{r \mid r > x\}$

$k : (\{x \mid x > 0\} \rightarrow \{y \mid y > 0\}) \rightarrow \{r \mid r > k \ 5\}$

Contract Satisfaction

(related to [Findler:ICFP02,Blume:ICFP04,Hinze:FLOPS06])

Given $\vdash e :: \tau$ and $\vdash_c t :: \tau$, we define $e \in t$ as follows:

$$e \in \{x \mid p\} \quad \Leftrightarrow \quad e \uparrow \text{ or } (e \text{ is } \mathbf{crash\text{-}free} \text{ and } p[e/x] \not\rightarrow^* \{\mathbf{BAD}, \mathbf{False}\}) \quad [\mathbf{A1}]$$

$$e \in x:t_1 \rightarrow t_2 \quad \Leftrightarrow \quad e \uparrow \text{ or } \forall e_1 \in t_1. (e \ e_1) \in t_2[e_1/x] \quad [\mathbf{A2}]$$

$$e \in (t_1, t_2) \quad \Leftrightarrow \quad e \uparrow \text{ or } (e \rightarrow^*(e_1, e_2) \text{ and } e_1 \in t_1 \text{ and } e_2 \in t_2) \quad [\mathbf{A3}]$$

$$e \in \mathbf{Any} \quad \Leftrightarrow \quad \mathbf{True} \quad [\mathbf{A4}]$$

$e \uparrow$ means e diverges or $e \rightarrow^* \mathbf{UNR}$

Answers on $e \in t$

$\lambda x. x \in \{x \mid \text{bot}\} \rightarrow \{r \mid \text{True}\}$ ✓

$\lambda x. \text{BAD} \notin \{x \mid \text{bot}\} \rightarrow \{r \mid \text{True}\}$

$\lambda x. x \in \{x \mid \text{True}\} \rightarrow \{r \mid \text{bot}\}$ ✓

$\lambda x. \text{BAD} \notin \{x \mid \text{True}\} \rightarrow \{r \mid \text{bot}\}$

$\lambda x. \text{BAD} \in \{x \mid \text{True}\} \rightarrow \text{Any}$ ←

$(\text{True}, 2) \in \{x \mid (\text{snd } x) > 0\}$ ✓

$(\text{BAD}, 3) \notin \{x \mid (\text{snd } x) > 0\}$

$\text{BAD} \in \text{Any}$

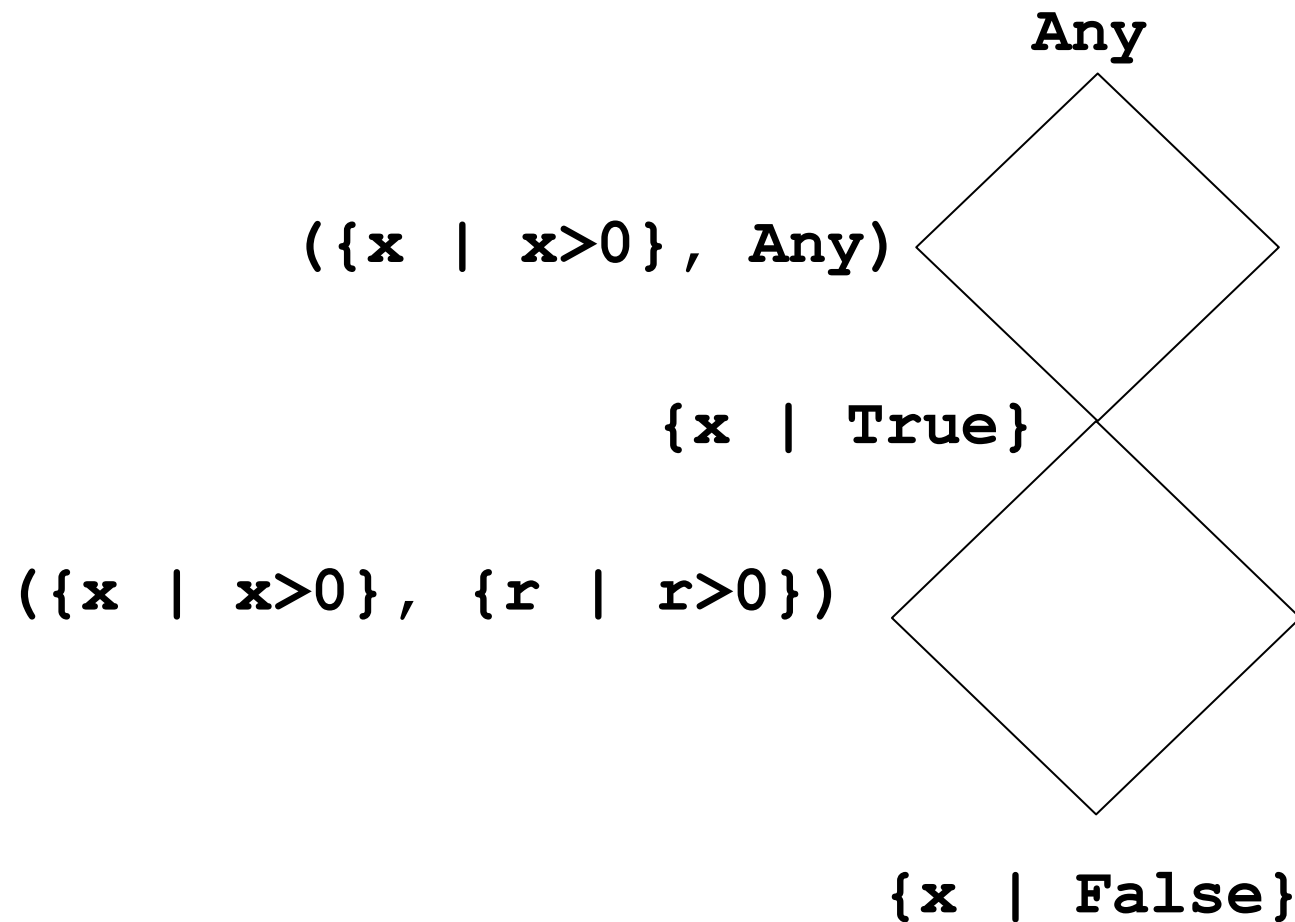
$\text{UNR}, \text{bot} \in \{x \mid \text{False}\}$

$\text{UNR}, \text{bot} \in \{x \mid \text{BAD}\}$

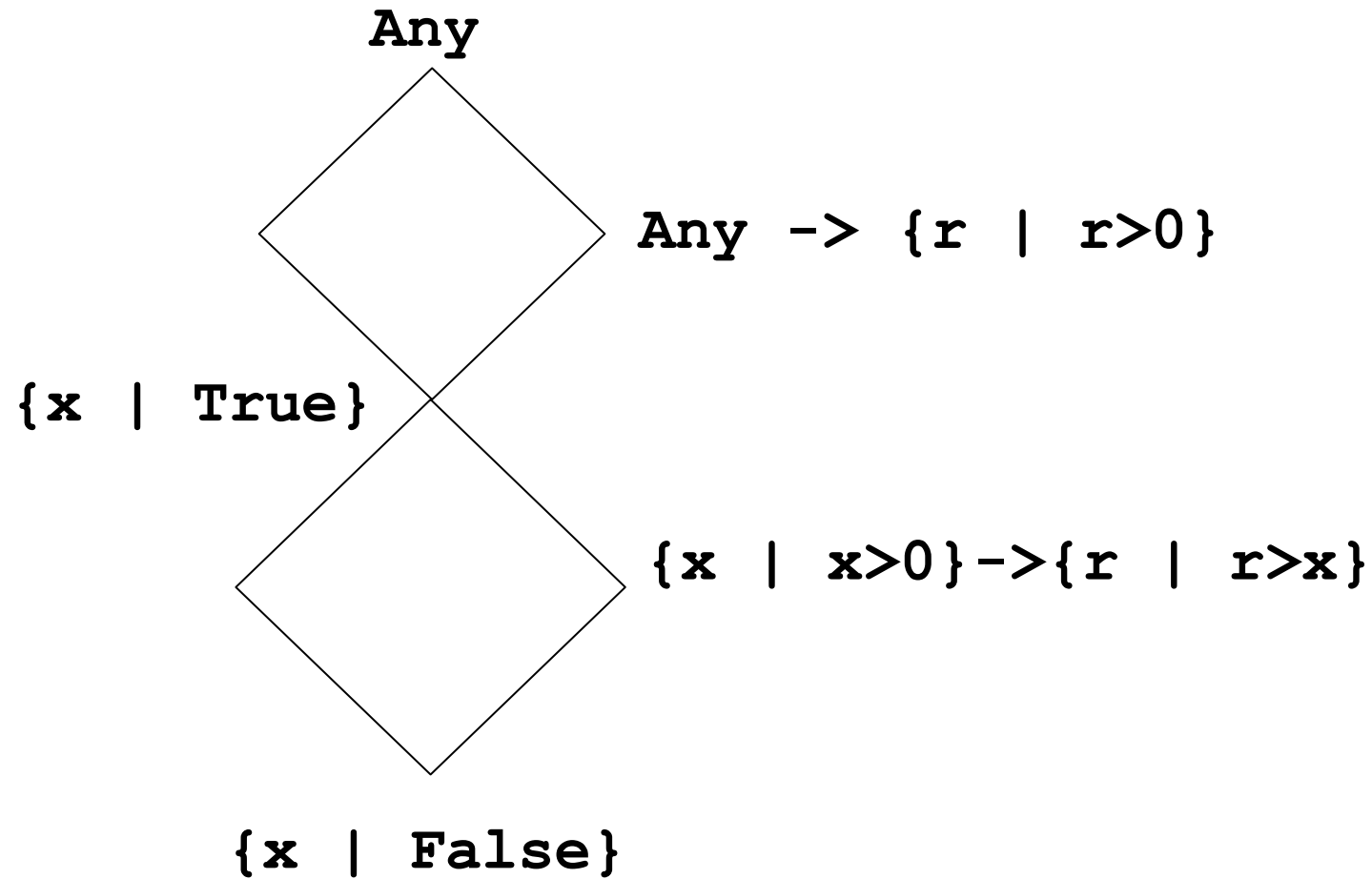
$\text{BAD} \notin (\text{Any}, \text{Any})$

$\text{BAD} \notin \text{Any} \rightarrow \text{Any}$

Lattice for Contracts



Lattice for Contracts



Laziness

`fst ∈ ({x | True}, Any) -> {r | True}`

`fst (a,b) = a`

`...fst (5, error "f")...`

Every expression
satisfies **Any**

`fstN :: (Int, Int) -> Int -> Int`

`fstN ∈ ({x | True}, Any)
-> {n | True} -> {r | True}`

`fstN (a, b) n = if n > 0
 then fstN (a+1, b) (n-1)
 else a`

`g2 = fstN (5, error "fstN") 100`



What to Check?

Does function f satisfies its contract t (written $f \in t$)?

At the definition of each function f ,
Check $f \in t$ assuming all functions called in f
satisfy their contracts.

Goal: $\text{main} \in \{x \mid \text{True}\}$

How to Check?

This Talk

Define
 $e \in t$

Grand Theorem
 $e \in t \Leftrightarrow e \triangleright t$ is crash-free

(related to Blume&McAllester:ICFP'04)

ESC/Haskell (Xu:HW'06)

Construct

$e \triangleright t$
(e “ensures” t)

Simplify ($e \triangleright t$)

Normal form e'

If e' is syntactically safe,
then **Done!**

What we can't do?

`g1, g2 ∈ Ok → Ok`

```
g1 x = case (prime x > square x) of
  True  -> x
  False -> error "urk"
```

Crash!

`g2 xs ys =`

```
  case (rev (xs ++ ys) == rev ys ++ rev xs) of
    True  -> xs
    False -> error "urk"
```

Crash!

Hence, three possible outcomes:

- (1) Definitely Safe (no crash, but may loop)
- (2) Definite Bug (definitely crashes)
- (3) Possible Bug



Crashing

Definition (Crash).

A closed term e crashes iff $e \rightarrow^ \mathbf{BAD}$*

Definition (Crash-free Expression)

An expression e is crash-free iff

$\forall C. \mathbf{BAD} \notin C, \vdash C[[e]] :: (), C[[e]] \not\rightarrow^* \mathbf{BAD}$

Definition of \triangleright and \triangleleft

(\triangleright pronounced ensures \triangleleft pronounced requires)

$e \triangleright \{x \mid p\}$
= case $p[e/x]$ of
 True $\rightarrow e$
 False \rightarrow BAD

$e \triangleleft \{x \mid p\}$
= case $p[e/x]$ of
 True $\rightarrow e$
 False \rightarrow UNR

Example:

$5 \in \{x \mid x > 0\}$

$5 \triangleright \{x \mid x > 0\}$
= case $(5 > 0)$ of
 True $\rightarrow 5$
 False \rightarrow BAD

Definition of \triangleright and \triangleleft (cont.)

$$\begin{aligned} e \triangleright x:t_1 \rightarrow t_2 \\ = \lambda v. (e (v \triangleleft t_1)) \triangleright t_2[v \triangleleft t_1/x] \end{aligned}$$

$$\begin{aligned} e \triangleleft x:t_1 \rightarrow t_2 \\ = \lambda v. (e (v \triangleright t_1)) \triangleleft t_2[v \triangleright t_1/x] \end{aligned}$$

$$\begin{aligned} e \triangleright (t_1, t_2) \\ = \text{case } e \text{ of} \\ (e_1, e_2) \rightarrow (e_1 \triangleright t_1, e_2 \triangleright t_2) \end{aligned}$$

$$\begin{aligned} e \triangleleft (t_1, t_2) \\ = \text{case } e \text{ of} \\ (e_1, e_2) \rightarrow (e_1 \triangleleft t_1, e_2 \triangleleft t_2) \end{aligned}$$

Higher-Order Function

```
f1 :: (Int -> Int) -> Int
```

```
f1 ∈ ({x | True} -> {y | y >= 0}) -> {r | r >= 0}
```

```
f1 g = (g 1) - 1
```

```
f2 :: {r | True}
```

```
f2 = f1 (\x -> x - 1)
```

```
f1 ▷ ({x | True} -> {y | y >= 0}) -> {r | r >= 0}
```

```
= ... ▷ ◁ ▷
```

```
= λ v1. case (v1 1) >= 0 of  
  True -> case (v1 1) - 1 >= 0 of  
    True -> (v1 1) - 1  
    False -> BAD  
  False -> UNR
```

Modified Definition of \triangleright and \triangleleft

```
e  $\triangleright$  {x | p}
= case p[e/x] of
  True -> e
  False -> BAD
```

old

```
if e  $\rightarrow^*$  BAD,
then (fin e)  $\rightarrow$  False
else (fin e)  $\rightarrow$  e
```

```
e  $\triangleright$  {x | p}
= e `seq` case fin (p[e/x]) of
  True -> e
  False -> BAD
```

new

```
e_1 `seq` e_2 = case e_1 of {DEFAULT -> e_2}
```

Why need seq and fin?

```
e ▷ {x | p}
= e `seq` case fin (p[e/x]) of
  True -> e
  False -> BAD
```

Without seq

(UNR ▷ {x | False}) →* BAD

But UNR ∈ {x | False}

Without fin

λ x. x ∈ {x | BAD} → {x | True}

But λ x. x ▷ {x | BAD} → {x | True}

→* λ v. (v `seq` BAD) which is NOT crash-free.

Definition of \triangleright and \triangleleft for Any

$e \triangleright \text{Any} = \text{UNR}$

$e \triangleleft \text{Any} = \text{BAD}$

$f5 \in \text{Any} \rightarrow \{r \mid \text{True}\}$

$f5\ x = 5$

$\text{error} :: \text{String} \rightarrow a \quad \text{-- HM Type}$

$\text{error} \in \{x \mid \text{True}\} \rightarrow \text{Any} \quad \text{-- Contract}$

Properties of \triangleright and \triangleleft

Key Lemma:

For all closed, crash-free e , and closed t ,
 $(e \triangleleft t) \in t$

Projections: (related to Findler&Blume:FLOPS'06)

For all e and t , if $e \in t$, then

- (a) $e \preceq e \triangleright t$**
- (b) $e \triangleleft t \preceq e$**

Definition (Crashes-More-Often):

**$e_1 \preceq e_2$ iff for all $C, \vdash C[[e_i]] :: ()$ for $i=1,2$ and
 $C[[e_2]] \rightarrow^* \text{BAD} \Rightarrow C[[e_1]] \rightarrow^* \text{BAD}$**

More Lemmas ☺

Lemma [Monotonicity of Satisfaction]:

If $e_1 \in t$ and $e_1 \preceq e_2$, then $e_2 \in t$

Lemma [Congruence of \preceq]:

$e_1 \preceq e_2 \Rightarrow \forall C. C[[e_1]] \preceq C[[e_2]]$

Lemma [Idempotence of Projection]:

$\forall e, t. e \triangleright t \triangleright t \equiv e \triangleright t$

$\forall e, t. e \triangleleft t \triangleleft t \equiv e \triangleleft t$

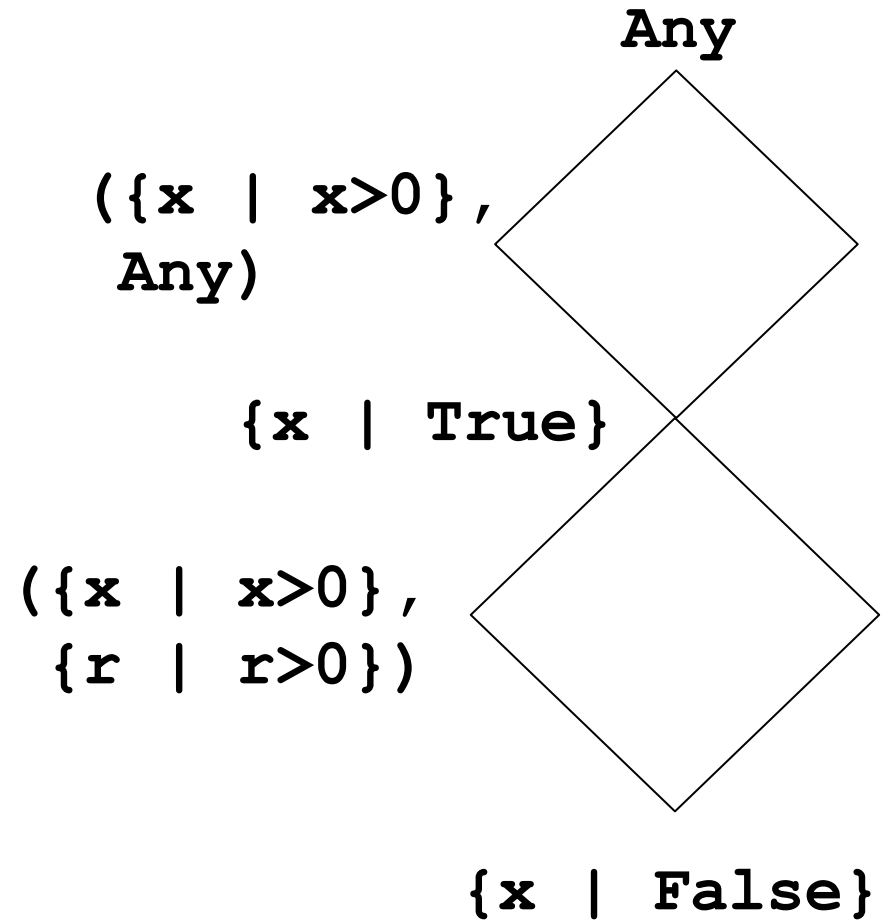
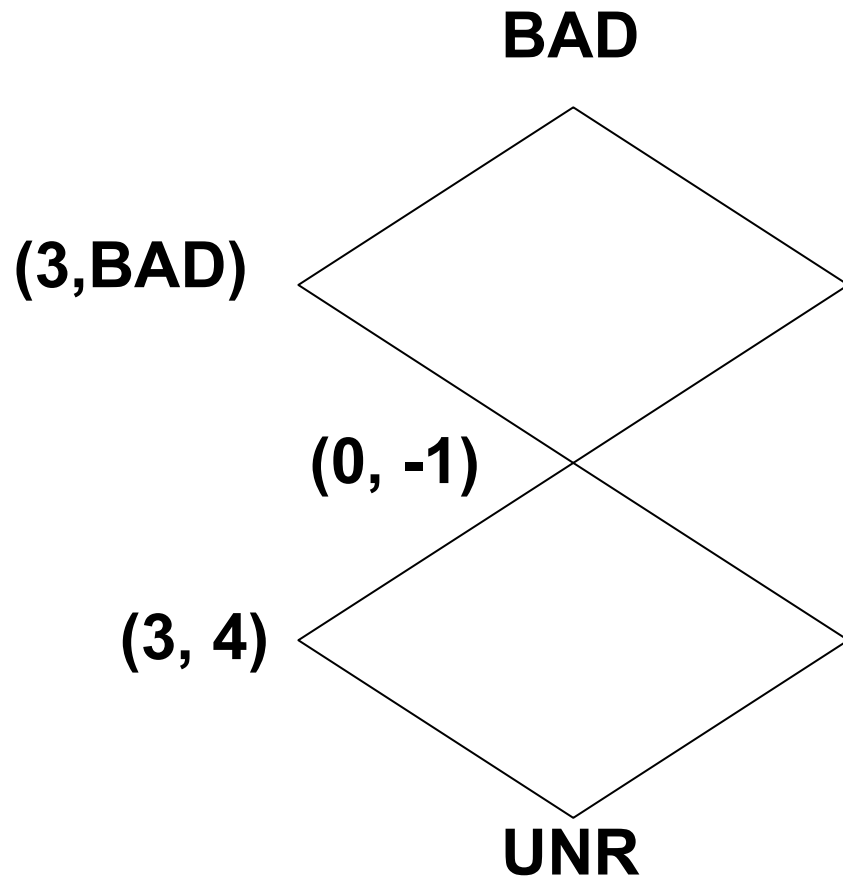
Lemma [A Projection Pair]:

$\forall e, t. e \triangleright t \triangleleft t \preceq e$

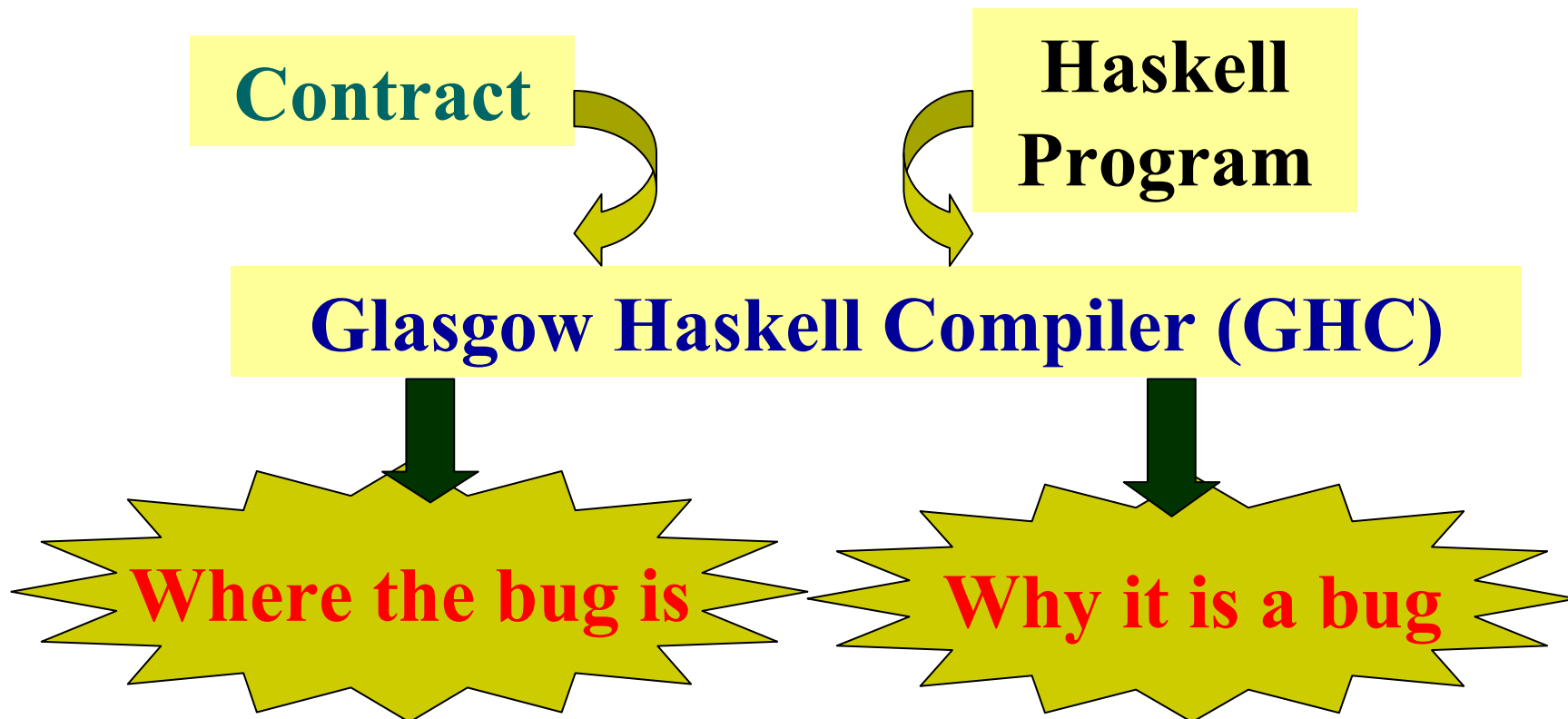
Lemma [A Closure Pair]:

$\forall e, t. e \preceq e \triangleleft t \triangleright t$

Lattice for Expressions (\preceq)



Conclusion



Various Examples

```
zip :: [a] -> [b] -> [(a,b)]
zip :: {xs | True} -> {ys | sameLen xs ys}
    -> {rs | sameLen rs xs }
```

```
sameLen [] [] = True
sameLen (x:xs) (y:ys) = sameLen xs ys
sameLen _ _ = False
```

```
f91 :: Int -> Int
f91 :: { n <= 101 } -> { r | r == 91 }
f91 n = case (n <= 100) of
    True -> f91 (f91 (n + 11))
    False -> n - 10
```

Sorting

(==>) True x = x

(==>) False x = True

```
sorted [] = True
```

```
sorted (x:[]) = True
```

```
sorted (x:y:xs) = x <= y && sorted (y : xs)
```

```
insert :: {i | True} -> {xs | sorted xs}
        -> {r | sorted r}
```

```
merge :: [Int] -> [Int] -> [Int]
```

```
merge :: {xs | sorted xs} -> {ys | sorted ys}
        -> {r | sorted r}
```

```
bubbleHelper :: [Int] -> ([Int], Bool)
```

```
bubbleHelper :: {xs | True}
              -> {r | not (snd r) ==> sorted (fst r)}
```

```
Insertsort, mergesort, bubblesort :: {xs | True}
                                     -> {r | sorted r}
```



Contributions

- **Automatic static contract checking instead of dynamic contract checking.**
- **Compared with ESC/Haskell**
 - **Allow pre/post specification for higher-order function's parameter through contracts.**
 - **Reduce more false alarms caused by Laziness and in an efficient way.**
 - **Allow user-defined data constructors to be used to define contracts**
 - **Specifications are more type-like, so we can define contract synonym.**
- **We develop a concise notation (\triangleright and \triangleleft) for contract checking, which enjoys many properties. We give a new and relatively simpler proof of the soundness and completeness of dynamic contract checking, this proof is much trickier than it looks.**
- **We implement the idea in GHC**
 - **Accept full Haskell**
 - **Support separate compilation as the verification is modular.**
 - **Can check functions without contract through CEG unrolling.**